Transparency template

**Module: Overview of the extractive industries covering**

**Covering requirements: Legal framework and fiscal regime (#2.1), key projects and exploration data (#3.1),** **production data (#3.2), export data (#3.3) and economic contribution (#6.3)**

**Sector covered by this template**: ☐ Oil and gas OR [x] Mining (and quarrying)

**Period under review:** *What is the period that this template covers?*Month and year to month and year: April 2021 to October 2023

*Note: for Validation, it is the day of commencement of the previous Validation which marks the beginning of the period under review until the date of commencement of the upcoming Validation.*

This form is submitted for ☐ International Secretariat feedback as part of implementation support

 OR [x]  Validation as part of final submission for assessment

**Introduction**

To understand how the sector functions, the Standard requires countries to disclose the legal and fiscal regime that governs extractive activities. For a comprehensive overview, key projects should be identified and the disclosure of proven economic oil, gas or mineral reserves, where available, is encouraged. A comprehensive overview will include disclosures and analysis of production and exports of all extractive commodities of the country. Where applicable, this should include estimates of artisanal- and small-scale mining activities. Finally, the country is requested to provide key data on the share of revenues from extractives in relation to overall government revenues, as well as the size of the sector in terms of contribution to the Gross Domestic Product (GDP), overall exports and employment. Where the country has both oil and gas, and mining (and quarrying) activities, the information should be submitted per sector to support the comprehension of the individual sector’s contributions.

**What is the purpose of this template?**

The purpose of this template (C1) is for the MSG to conduct a self-assessment on meeting the requirements of the component “overview of the extractive industries” which covers Requirements 2.1, 3.1, 3.2, 3.3, and 6.3. Given that the information is assessed per sector, the self-assessment allows to uncover further areas of improvement particular to the sector, as the challenges and opportunities are often very different.

Each requirement section contains:

1. A box with additional resources
2. Corrective actions from the previous Validation, where applicable
3. A self-assessment. This is divided between an assessment of the holders of information and the availability of systematic disclosures, complemented by EITI reporting and an assessment against the technical aspects and underlying objectives of the requirement in questions & response format
4. Comments from the Secretariat

Some requirements include a check of applicability. In that case there is one more section.

 **When should this template be completed?**

The template should be used as a tool for implementation. MSGs are encouraged to use this template regularly and ahead of Validation, for example to inform reporting and identify areas where disclosures need to be strengthened. Before commencement of Validation, the templates could be updated regularly. In such instances, you may get support from your country lead and indicate that this form is for International Secretariat feedback.

**The templates should be finalised and published by the commencement of Validation.** For Validation, this form serves as basis for assessing the country under this component. The form must be reviewed and [signed off](#_heading=h.7f9llm4orvnq) by the multi-stakeholder group and submitted latest on the day of the commencement of Validation and be published on the country’s website. At this stage, it should be indicated on the form that the template is submitted for Validation.

**Who should fill this template?**

The **national secretariat** should fill up this template with support from government agencies and constituency members outside of the MSG. The International Secretariat can provide guidance. The MSG should review, discuss and give the final sign-off on the content of the template.

**Covered in this form**

**Legal framework and fiscal regime (#2.1):** How is the sector governed? What laws apply, how is the sector regulated? Which are the main entities involved in the oversight and collection of revenues?

What are the **Key project and extractives potentials in the country? (#3.1)**

What is **produced (#3.2)** – volumes and value per commodity and project where possible

What is **exported (#3.3)** – volumes and values per commodity

What is the overall contribution to the economy? **(#6.3)** – to GDP, exports, employment

In this form

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[**Requirement 6.3: Contribution of the extractive sector to the economy 38**](#_heading=h.u2q773tdmapi)

[I.](#_heading=h.r5f5gu6xn3a0) Resources 38

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# **Requirement 2.1:** **Legal framework and fiscal regime**

## Resources

|  |
| --- |
| * [Requirement in full](https://eiti.org/eiti-requirements#_1-legal-framework-and-fiscal-regime--17286), [Validation guide](https://eiti.org/guidance-notes/validation-guide-2023-eiti-standard#requirement-31-exploration-activities-18974)
* Guidance notes on [Coverage of artisanal and small-scale mining (ASM) in EITI reporting](https://eiti.org/guidance-notes/coverage-artisanal-and-small-scale-mining-asm-eiti-reporting) and [Energy transition provisions in legal frameworks and fiscal regimes](https://eiti.org/guidance-notes/energy-transition-provisions-legal-frameworks-and-fiscal-regimes)
 |

## Corrective actions / recommendations from previous Validation

ⓘ To inform the work on this module, stakeholders should be aware of corrective actions from previous Validation. In line with Requirement 7.3, the MSG should also consider recommendations from EITI implementation such as those arising from EITI reporting related to this requirement or from other studies undertaken.

|  |
| --- |
| Insert recommendation and or corrective action from previous Validation or targeted assessment, if applicable. Indicate the status of addressing the corrective actions, if applicable. If this is a first Validation, this section can be left blank. |

## Self-assessment

ⓘ The self-assessment allows the MSG to understand the aspects of the requirement and estimate its progress towards meeting it. Diverging views within the constituency or between constituencies can be documented in the form.

### Holders of information

ⓘ The purpose of identifying the holders of information is to identify the entity or entities that are responsible for collecting, storing, processing and ultimately publishing information related to this requirement. It allows to clearly identify who is the information steward that needs to provide the information for EITI reporting: either through reporting or systematic disclosures.

|  |  |  |
| --- | --- | --- |
|  | **Question** | **Response** |
| **Description of the legal framework (2.1.a)** | Which **government entity(ies)** provide a description of the legal framework governing the oil and gas / mining and quarrying Choose an item. sector?  | The Ministry of Mines and Minerals Development.- <https://www.mmmd.gov.zm/> Geological and Minerals Development bill of 2025- <https://www.parliament.gov.zm/node/12044>The Minerals Regulation bill of 2025- <https://www.parliament.gov.zm/node/11591>  |
| **Description of the fiscal regime, including the level of fiscal devolution; (2.1.a)** | Which **government entity(ies)** provide a description of the fiscal regime, including the level of fiscal devolution, governing the oil and gas / mining and quarrying Choose an item. sector?  | The Ministry of Finance and National Planning- <https://www.mofnp.gov.zm/>  |
| **National energy commitments (2.1.b)** | Which **government entity(ies)** holds the information about national energy transition commitments, policies and plans that are relevant to the extractive industries? | The Ministry of Energy- <https://www.moe.gov.zm/> The Energy Regulation Board- <https://www.erb.org.zm/> Zambia Electricity Supply Company- <https://www.zesco.co.zm/>  |
| **Carbon pricing mechanisms (2.1.c)** | Which **government entity(ies)** holds the information about carbon pricing mechanisms or carbon taxes that are material to the extractive industries? | The Ministry of Green Economy and Environment- <https://www.mgee.gov.zm/> Zambia Environmental Management Agency- <https://www.zema.org.zm/>  |
| **Subsidies (2.1.d)** | Which **government entity(ies)** holds the information about public subsidies and other forms of state support that are material to the extractive industries, as well as any related ongoing reforms? | The Ministry of Finance and National Planning- <https://www.mofnp.gov.zm/> Zambia Revenue Authority- <https://www.zra.org.zm/>  |
| **Reforms related to the energy transition (2.1.e)** | Which **government entity** holds information on reform efforts with respect to national energy transition commitments, policies and plans? | The Ministry of Energy- <https://www.moe.gov.zm/> The Energy Regulation Board- <https://www.erb.org.zm/> Zambia Electricity Supply Company- <https://www.zesco.co.zm/>  |
| **ASM sector (2.1.f)** | If the country has an ASM sector: Which **government entity** is responsible for and holds information on the governance of the artisanal and small-scale mining sector? | The Ministry of Mines and Minerals Development.- <https://www.mmmd.gov.zm/>  |

### Technical requirements

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| --- | --- | --- |
|  | **Required** | **#2.1.a – Description of the fiscal and legal framework, including fiscal devolution** |
|  | *Availability* | **Is there a summary description of the fiscal regime applicable to the** oil and gas / mining and quarrying Choose an item. **sector?**[x]  Yes ☐ No**Are any taxes levied and / or collected at the sub-national level that relate to** oil and gas / mining and quarrying Choose an item. **activities? (= fiscal devolution)?**[x]  Yes ☐ NoExplain: Companies Pay Property rates and Annual Business fees to local authorities in their operating jurisdiction.**Is an overview of the relevant laws and regulations governing the** oil and gas / mining and quarrying Choose an item. **sector available?**[x]  Yes ☐ NoThe Ministry of Mines and Minerals Development.- <https://www.mmmd.gov.zm/> Geological and Minerals Development bill of 2025-<https://www.parliament.gov.zm/node/12044>The Minerals Regulation bill of 2025- <https://www.parliament.gov.zm/node/11591> **Are there any laws related to prevention of corruption in the sector?**[x]  Yes ☐ NoThe Anti Corruption Commission- <https://www.parliament.gov.zm/sites/default/files/documents/acts/Anti%20Corruption%20Act%2C%202012.PDF> **If yes, is the overview of those anti-corruption laws available?**[x]  Yes ☐ No**Is a description of the different types of contracts and licenses that govern the exploration and exploitation of** oil and gas / mining and quarrying Choose an item. **resources available?**[x]  Yes ☐ NoExplain: The Ministry of Mines and Minerals Development.- <https://www.mmmd.gov.zm/> The Zambia EITI Portal- <https://portal.zambiaeiti.org/home> **Is information on the roles and responsibilities of relevant government agencies** available?[ ]  Yes ☐ NoExplain: Yes, the information is available on the various relevant government agencies websites.  |
|  | *Available systematic disclosures* | **Provide source(s) where the government systematically discloses this information:** Usually by the information holder(s), includes disclosures by SOEs* + Description of the fiscal regime:The Ministry of Finance and National Planning- <https://www.mofnp.gov.zm/>
	+ On fiscal devolution (if applicable): Constitution of Zambia amendment Act number 2 of 2016 part IX, Article 147-148.- [https://www.parliament.gov.zm/sites/default/files/documents/amendment\_act/Constitution%20of%20Zambia%20%20(Amendment),%202016-Act%20No.%202\_0.pdf](https://www.parliament.gov.zm/sites/default/files/documents/amendment_act/Constitution%20of%20Zambia%20%20%28Amendment%29%2C%202016-Act%20No.%202_0.pdf)
	+ Overview of the relevant laws and regulations governing the sector: Constitution of Zambia amendment Act number 2 of 2016 part IX, Article 147-148.- [https://www.parliament.gov.zm/sites/default/files/documents/amendment\_act/Constitution%20of%20Zambia%20%20(Amendment),%202016-Act%20No.%202\_0.pdf](https://www.parliament.gov.zm/sites/default/files/documents/amendment_act/Constitution%20of%20Zambia%20%20%28Amendment%29%2C%202016-Act%20No.%202_0.pdf)

Laws related to preventing corruption (if applicable): The Anti Corruption Commission-<https://www.parliament.gov.zm/sites/default/files/documents/acts/Anti%20Corruption%20Act%2C%202012.PDF> * + Description of the different types of contracts and licenses that govern the exploration and exploitation oil and gas / mining and quarrying Choose an item. **activities**: *website: www.*
	+ Information on the roles and responsibilities of the relevant government agencies: *website: www.*
 |
|  | *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above), or only partially so, it can be complemented by an EITI Report, a study, an industry publication, EITI website. Please add the sources. * + Description of the fiscal regime: *Source, section of report (page nr)*
	+ On fiscal devolution (if applicable): *Source, section of report (page nr)*
	+ Overview of the relevant laws and regulations governing the sector: *Source, section of report (page nr)*
	+ Laws related to preventing corruption (if applicable) : *Source, section of report (page nr)*

Description of the different types of contracts and licenses that govern the exploration and exploitation oil and gas / mining and quarrying Choose an item. **activities**: The Ministry of Mines and Minerals Development.- <https://www.mmmd.gov.zm/> The Zambia EITI Portal- <https://portal.zambiaeiti.org/home>* + Information on the roles and responsibilities of the relevant government agencies:Yes, the information is available on the various relevant government agencies website
 |
|  | **Required** | **#2.1.b – overview of national energy transition commitments** |
|  | *Availability* | **Does the country have any national energy transition commitments, policies and plans that are relevant to the** oil and gas / mining and quarrying Choose an item. **sector?** [x]  Yes ☐ NoExplain: (optional) The National Energy Policy of 2019- <https://www.moe.gov.zm/wp-content/uploads/2024/10/Zambia_The-National-Energy-Policy-2019.pdf> **If yes, is an overview of national energy transition commitments, policies and plans that are relevant to the** oil and gas / mining and quarrying Choose an item. sector available?☐ Yes [x]  NoExplain: (optional) |
|  | *Available systematic disclosures* | **Provide source(s) where the government discloses this information:** Usually by the information holder(s), includes disclosures by SOEs* + national energy transition commitments, policies, plans: The National Energy Policy-[*https://www.moe.gov.zm/wp-content/uploads/2024/10/Zambia\_The-National-Energy-Policy-2019.pdf*](https://www.moe.gov.zm/wp-content/uploads/2024/10/Zambia_The-National-Energy-Policy-2019.pdf)
	+ *Renewable Energy Strategy and Action plan-* [*https://www.moe.gov.zm/wp-content/uploads/2022/08/Renewable-Energy\_final-file\_for-web.pdf*](https://www.moe.gov.zm/wp-content/uploads/2022/08/Renewable-Energy_final-file_for-web.pdf)
 |
|  | *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above), or only partially so, it can be complemented by an EITI Report, a study, an industry publication, EITI website. Please add the sources.* + national energy transition commitments, policies, plans:  *Source, section of report (page nr)*
 |
|  | **Encouraged** | **#2.1.c – carbon pricing mechanisms** |
|  | *Applicability* | **Are any carbon pricing mechanisms or carbon taxes in place that are material to the** oil and gas / mining and quarrying Choose an item. **sector?[[1]](#footnote-1)**☐ Yes [x]  NoExplain: **If yes, has the MSG considered disclosing information on carbon pricing mechanisms or carbon taxes?**☐ Yes ☐ NoExplain (if there are MSG meeting minutes that document such a discussion, please specify:  |
|  | *Availability* | **Is a summary description of carbon pricing mechanisms or carbon taxes that are material to the** oil and gas / mining and quarrying Choose an item. s**ector available?**☐ Yes [x]  No |
|  | *Available systematic disclosures* | **Provide source(s) where the government disclose this information:** Usually by the information holder(s)* + Description of carbon pricing mechanisms or carbon taxes: *website: www.*
 |
|  | *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above), or only partially so, it can be complemented by an EITI Report, a study, an industry publication, EITI website. Please add the sources.* + Description of carbon pricing mechanisms or carbon taxes:  *Source, for example section of report (page nr)*
 |
| **Encouraged** | **#2.1.d – Subsidies** |
| *Applicability* | **Are there any subsidies or other forms of state support that are material to the** oil and gas / mining and quarrying Choose an item. **sector?**Note: This could include producer subsidies as well as pre-tax and post-tax consumer subsidies, in accordance with guidance from the Global Subsidies Initiative. Subsidies that are defined as quasi-fiscal expenditures by a state-owned enterprise (SOE) must be disclosed in accordance with Requirement 6.2.☐ Yes [x]  NoExplain: Information on subsidies is not publicly available.**If yes, has the MSG considered disclosing information on subsidies or other forms of state support?**☐ Yes ☐ NoExplain (if there are MSG meeting minutes that document such a discussion, please specify, or an activity in the work plan, etc.): **If yes, are there any ongoing reforms on public subsidies or other forms of state support?**☐ Yes ☐ NoExplain (if there are MSG meeting minutes that document such a discussion, please specify, or an activity in the work plan, etc.): **If yes, has the MSG considered disclosing information on those reforms?**☐ Yes ☐ NoExplain (if there are MSG meeting minutes that document such a discussion, please specify, or an activity in the work plan, etc.):  |
| *Availability* | **Is information on public subsidies or other forms of state support that are material to the** oil and gas / mining and quarrying Choose an item. s**ector available?**☐ Yes [x]  No |
| *Available systematic disclosures* | **Provide source(s) where the government disclose this information:** Usually by the information holder(s)* + Information on public subsidies or other forms of state support material to the sector: *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above), or only partially so, it can be complemented by an EITI Report, a study, an industry publication, EITI website. Please add the sources.* Information on public subsidies or other forms of state support material to the sector: *Source, for example section of report (page nr)*
 |
| **Encouraged** | **#2.1.e – Reforms underway, including on national energy commitments** |
| *Applicability and availability* | **Is the government undertaking reforms that have an impact on the governance of the extractives sector or revenue collection?** [x]  Yes ☐ NoExplain: **Key initiatives include establishing an independent Power Producer, streamlining licensing from 6 months to 48hrs approval time for solar projects, introducing net metering to encourage distributed generation, and reforming the petroleum sector with an open access pipeline regime.****Is the government undertaking reforms or changes to national energy transition commitments?**[x]  Yes [ ]  NoExplain: The information is not publicly available despite pronouncements being made by senior government officials. |
| *Available systematic disclosures* | **Provide source(s) where the government disclose this information:** Usually by the information holder(s)* + Information on ongoing reforms affecting the governance of the extractives sector:
	+ Information on revision of national energy transition committments: *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above), or only partially so, it can be complemented by an EITI Report, a study, an industry publication, EITI website. Please add the sources.* + Information on ongoing reforms affecting the governance of the extractives sector: *Source, for example section of report (page nr)*
* Information on revision of national energy transition commitments: *website: www.*
 |
| **Encouraged** | **#2.1.f – Policies related to ASM** |
| *Applicability and availability* | **Are there any significant artisanal- and small-scale mining activities in your country?**[x]  Yes ☐ NoExplain: The Ministry of Mines does give license to ASM miners and are regulated by the new MRC Act of 2025**If yes, has the MSG considered disclosing information on policies related to the artisanal and small-scale mining sector and planned or ongoing reforms?**[x]  Yes ☐ NoExplain (if there are MSG meeting minutes that document such a discussion, please specify, or an activity in the work plan, etc.): The Information on ASM licenses is uploaded onto the Zambia EITI Portal.- <https://portal.zambiaeiti.org/csv-list/660d530a148f8b9a5924ab02> **If yes, does the country disclose policies and planned or ongoing reforms related to the artisanal and small-scale mining sector?**[x]  Yes ☐ NoExplain: The Ministry of Mines has recently created under the new MRC Act of 2025 an ASM department that will oversee all their activities and recently launched ASM blueprint for national Formalization and Business Acceleration Strategy-<https://developmentminerals.org/wp-content/uploads/2025/05/Zambia-Blueprint-for-a-National-Formalization-and-Business.pdf>  |
| *Available systematic disclosures* | **If there are policies relating to ASM, provide source(s) where the government disclose this information:** Usually by the information holder(s)* + Description of ASM policies: ASM blueprint for national Formalization and Business Acceleration Strategy-<https://developmentminerals.org/wp-content/uploads/2025/05/Zambia-Blueprint-for-a-National-Formalization-and-Business.pdf>
	+ Description of reforms underway on ASM policies or plans: *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above), or only partially so, it can be complemented by an EITI Report, a study, an industry publication, EITI website. Please add the sources.* + Description of ASM policies: ASM blueprint for national Formalization and Business Acceleration Strategy-<https://developmentminerals.org/wp-content/uploads/2025/05/Zambia-Blueprint-for-a-National-Formalization-and-Business.pdf>
	+ Description of reforms underway on ASM policies or plans: *Source, for example section of report (page nr)*
 |

**Additional comments and observations on this requirement, including any possible gaps, barriers to disclosures and how stakeholders (MSG, government, companies) are addressing those**

|  |
| --- |
| *Add any further comments:*  |

### Underlying objective

*The objective of this requirement is to ensure public understanding of all aspects of the regulatory framework for the extractive industries, including the legal framework; fiscal regime; roles of government entities and reforms; as well as laws and regulations related to addressing corruption risks in the extractive sector.*

**Access to information**

1. Do MSG members consider that the information on the fiscal and legal framework is explained in an accessible way, allowing for citizens and companies to understand how the sector is regulated?

|  |
| --- |
| [x]  Yes ☐No*All information on fiscal and legal regimes is publicly available on all relevant government agencies websites in an easy to access and understand format* |

1. Has the MSG assessed if the information on how the sector is governed and the laws in place apply are sufficiently clear? For example, is it clear where the key terms for determining the royalty rate is to be found (in the law or a contract)? Views from key audiences, such as journalists, civil society members engaged in the analysis of revenues or companies interested in investing could be considered in the MSG’s assessment.

|  |
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| [x]  Yes ☐No*Elaborate: The ASM sector is governed by the same laws that apply to all mining license categories.* |

1. Do any stakeholders on the MSG or broader constituency consider that key information is missing?

|  |
| --- |
| ☐ Yes [x]  No*Elaborate: All legal and licensing information is publicly available. However, challenges such as geological information and key license tracking information is unavailable.* |

1. Is the MSG aware of any reforms related to the sector that are under way? If there are reforms but the MSG has not been cited, why not?

|  |
| --- |
| [x]  Yes ☐No*Elaborate:* : The ASM sector is undergoing formalization and a dedicated department for all ASM activities has been created as well as the creation of Gold marketing centers.The Minerals Regulation bill of 2025- <https://www.parliament.gov.zm/node/11591> ASM blueprint for national Formalization and Business Acceleration Strategy<https://developmentminerals.org/wp-content/uploads/2025/05/Zambia-Blueprint-for-a-National-Formalization-and-Business.pdf>  |

1. If reforms are under way, has input from key stakeholders been sought in terms of what key priorities are?

|  |
| --- |
| [x]  Yes ☐No*Elaborate, if applicable include reference to minutes or other fora where this may have been discussed. The process of conducting it through nationwide sensitization of ASM formalization and trainings on disclosures of information e.g. Production, Tax returns and safe mining practices.* |

1. Has the MSG discussed if promoting transparency on energy transition policies and reforms is a priority? If yes, what was the outcome of that discussion?

|  |
| --- |
| [x]  Yes ☐No*Elaborate:The MSG approved the disclosure of Power Supply Agreements between ZESCO and Mining companies to further stimulate public discussions.* |

1. If carbon pricing mechanisms are applicable and material to the extractive sector, has the MSG discussed whether disclosing information on these is a priority? If yes, what was the outcome of that discussion?

|  |
| --- |
| ☐ Yes [x] No*Elaborate:The country is currently discussing mechanisms on Carbon pricing.* |

1. If subsidies or other forms of state support are in place that are material to the sector, has the MSG discussed whether disclosing this information is a priority? If yes, what was the outcome of that discussion?

|  |
| --- |
| ☐ Yes [x]  No*Elaborate: There are no publicly known subsidies for the ASM Sector.* |

### Conclusion

Based on the above, what is the MSG’s self-assessments towards fulfilling both the [objective](#_heading=h.t3bjyy1hiqsq) and [technical requirements](#_heading=h.6as0f5qfc19d)?

Score is:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **☐** | ☐ | ☐ | ☐ | [x]  | ☐ |
| very poor (0) | poor (25) | limited (50) | good (70) | very good (90) | leading (100) |
|  |  |  |  |  |  |

**Or**

☐ not applicable

|  |
| --- |
| Explain why |

## International Secretariat feedback

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *To be filled in by the International Secretariat**Observations of comprehensiveness of addressing the aspects, any gaps identified and further clarification needed.*

|  |  |
| --- | --- |
| Description of the legal framework (2.1.a)*Required* |  |
| Description of the fiscal regime, including the level of fiscal devolution; (2.1.a)*Required* |  |
| National energy transition commitments (2.1.b)*Required* |  |
| Carbon pricing mechanisms (2.1.c)*Encouraged* |  |
| Subsidies (2.1.d)*Encouraged* |  |
| Reforms related to the extractives sector and energy transition commitments (2.1.e)*Encouraged* |  |
| Policies governing the ASM sector (2.1.f)*Encouraged* |  |
| Underlying objectives |  |
| On availability of systematic disclosures |  |
| Any other observations |  |

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# Requirement 3.1: Exploration activities

## Resources

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| --- |
| [Requirement in full](https://eiti.org/eiti-requirements#_1-exploration-activities-17303), [Validation guide](https://eiti.org/guidance-notes/validation-guide-2023-eiti-standard#requirement-31-exploration-activities-18974)Guidance note on [Exploration activities and reserves](https://eiti.org/guidance-notes/exploration-activities-and-reserves) |

## Corrective actions / recommendations from previous Validation

ⓘ To inform the work on this module, stakeholders should be aware of corrective actions from previous Validation. In line with Requirement 7.3, the MSG should also consider recommendations from EITI implementation such as those arising from EITI reporting related to this requirement or from other studies undertaken.

|  |
| --- |
| Insert recommendation and or corrective action from previous Validation or targeted assessment, if applicable. Indicate the status of addressing the corrective actions, if applicable. If this is a first Validation, this section can be left blank. |

## Self-assessment

ⓘ The self-assessment allows the MSG to understand the aspects of the requirement and estimate its progress towards meeting it. Diverging views within the constituency or between constituencies can be documented in the form.

### Holders of information

ⓘ The purpose of identifying the holders of information is to identify the entity or entities that are responsible for collecting, storing, processing and ultimately publishing information related to this requirement. It allows to clearly identify who is the information steward that needs to provide the information for EITI reporting: either through reporting or systematic disclosures.

|  |  |  |
| --- | --- | --- |
|  | **Question** | **Response** |
| **Overview of sector****3.1.a** | Which **government entity(ies)** holds information on all major oil and gas / mining and quarrying Choose an item. projects, operating extractives companies and any significant exploration activities (recent, ongoing or planned)? | The Ministry of Mines and Minerals Development.- <https://www.mmmd.gov.zm/> Mining Cadastre- <https://www.mmmd.gov.zm/> The geological Information System- <https://gsd.gov.zm/>  |
| **Reserves****3.1.b** | Which **government entity** and/or **companies** hold any available data on proven economic oil and gas / mining and quarrying Choose an item. reserves? | The Ministry of Mines and Minerals Development.- <https://www.mmmd.gov.zm/> The Geological Survey Department- <https://www.mmmd.gov.zm/?page_id=1768>  |

### Technical requirements

|  |  |
| --- | --- |
| **Required** | **#3.1.a – overview of industry** |
| *Availability* | **Is there an overview of the**  mining and quarrying Choose an item. **industry, including** * all major extractives projects [x]  Yes ☐ No
* all major companies [x]  Yes ☐ No
* any significant exploration activities [x]  Yes ☐ No
 |
| *Available systematic disclosures* | **Provide source(s) where the government discloses this information:** Usually by the information holder(s), includes disclosures by SOEs* + of all major extractives projects: Cadastre landfolio-<https://portals.landfolio.com/zambia/>
	+ of all major companies: : Cadastre landfolio-<https://portals.landfolio.com/zambia/>
	+ The Zambia EITI portal- <https://portal.zambiaeiti.org/csv-list/660d530a148f8b9a5924ab02>
	+ of any significant exploration activities: f all major companies: : Cadastre landfolio-<https://portals.landfolio.com/zambia/>
	+ The Zambia EITI portal- <https://portal.zambiaeiti.org/csv-list/660d530a148f8b9a5924ab02>
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or complemented, this may be in an EITI Report, a study, an industry publication, EITI website* + of all major extractives projects: *Source, section of report (page nr)*
	+ of all major companies: *Source, section of report (page nr)*
	+ of any significant exploration activities: *Source, section of report (page nr)*
 |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on the following is incomplete?** [[2]](#footnote-2)* + On including all major extractives projects: ☐ Yes [x]  No
	+ On including all major companies:☐ Yes [x]  No
	+ On including all major exploration activities*:* ☐ Yes [x]  No

If yes, what is the reason for omissions of information?Elaborate:**Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of information?*** + On major extractives projects: ☐ Yes [x]  No
	+ On major companies:☐ Yes [x]  No
	+ On major exploration activities*:* ☐ Yes [x]  No

If yes, what is the reason that it is not reliable?Elaborate:**Do you or any stakeholders (including, but not limited to MSG members) consider that the information is outdated?** [[3]](#footnote-3)* + On major extractives projects: ☐ Yes [x]  No
	+ On major companies:☐ Yes [x]  No
	+ On major exploration activities*:* ☐ Yes [x]  No

If yes, what is the reason for not considering the information being up to date?Elaborate:If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**☐ Yes ☐ No Explain:**Are the gaps due to legal or practical barriers?**☐ Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information:Explain: *can include a reference to work plan activities, MSG meeting minutes etc.* |
| **Encouraged** | **3.1.b - reserves** |
| *Availability* | Is any data on proven economic [oil, gas / mineral] **reserves** available?[x]  Yes ☐ No |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** * + data on proven economic [oil, gas / mineral] **reserves**: [*https://gsd.gov.zm/*](https://gsd.gov.zm/)
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or complemented, this may be in an EITI Report, a study, an industry publication, EITI webpageProvide source(s) where this information can be accessed: *Source, section of report (page nr), exact website address* |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on** [oil, gas / mineral] **reserves is incomplete?**[x]  Yes ☐ No If yes, what is the reason for omissions of information?Elaborate: The information on reserves is partially available through the GSD online store which contains past and present reports on mining activities across Zambia.**Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of information on** [oil, gas / mineral] **reserves?**☐ Yes [x]  No If yes, what is the reason that it is not reliable?Elaborate:**Do you or any stakeholders (including, but not limited to MSG members) consider that information on reserves is outdated?**☐ Yes [x]  No If yes, what is the reason for not considering the information being up to date?Elaborate:If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**☐ Yes ☐ No Explain:**Are the gaps due to legal or practical barriers?**☐ Yes ☐ No**If yes, explain plans to overcome barriers to disclosure information on reserves**:Explain: *can include a reference to work plan activities, MSG meeting minutes etc.* |

**Additional comments and observations on this requirement, including any possible gaps, barriers to disclosures and how stakeholders (MSG, government, companies) are addressing those**

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| --- |
| *Add any further comments:*  |

### Underlying objective

*The objective of this requirement is to ensure public access to an overview of the extractive sector in the country and its potential, including recent, ongoing, and planned significant exploration activities.*

**Use of information**

1. Is any of the information as set out above available in open format, for example as an Excel work sheet?

|  |
| --- |
| [x]  Yes ☐No*The Country is currently undertaking a country wide Aerial Geological Survey which will determine the actual reserves, A decision as to whether the data on the reserves will be published has not yet been reached by the Government.*  |

1. Has the MSG conducted any analysis of the evolution of projects, companies, exploration activities or reserves over time?

|  |
| --- |
| ☐ Yes [x]  No*If yes, sources of where this analysis can be found:*  |

1. Is the MSG aware of anyone using information on projects, companies, exploration activities or reserves over time?

|  |
| --- |
| [x]  Yes ☐No *This analysis can be found in the Ministry of Mines and Minerals Development though it has not yet been made publicly available due to approval procedures (MMMD Statistical Bulletin yet to be published).* |

1. Is understanding key data on the sector’s evolution relevant for the country’s national priorities?

|  |
| --- |
| [x]  Yes ☐No*The data is key for the sector’s evolution especially in the wake of the energy transition and the country’s ambition of producing 3 million metric tonnes of copper by the year 2031 and the strides towards actualizing local content.*  |

### Conclusion

Based on the above, what is the MSG’s self-assessments towards fulfilling both the [objective](#_heading=h.t3bjyy1hiqsq) and [technical requirements](#_heading=h.6as0f5qfc19d)?

Score is:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **☐** | ☐ | ☐ | ☐ | [x]  | ☐ |
| very poor (0) | poor (25) | limited (50) | good (70) | very good (90) | leading (100) |
|  |  |  |  |  |  |

**Or**

☐ not applicable

|  |
| --- |
| it is a new requirement but despite this the MSG has made strides to implement this requirement.  |

## International Secretariat feedback

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *To be filled in by the International Secretariat**Observations of comprehensiveness of addressing the aspects, any gaps identified and further clarification needed.*

|  |  |
| --- | --- |
| 3.1.a overview of industry*Required* | *Including on reliability, comprehensiveness and timeliness* |
| 3.1.b reserves *Encouraged* | *Including on reliability, comprehensiveness and timeliness* |
| Underlying objective |  |
| Any other observations |  |
| On availability of systematic disclosures |  |
| On open format of disclosures |  |
| On the use of data |  |

 |
|  |

# Requirement 3.2: Production

## Resources

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| --- |
| * [Requirement in full](https://eiti.org/eiti-requirements#_2-production-data-17304), [Validation guide](https://eiti.org/guidance-notes/validation-guide-2023-eiti-standard#requirement-32-production-data-18997)
* Guidance note: [Coverage of artisanal and small-scale mining (ASM) in EITI reporting](https://eiti.org/guidance-notes/coverage-artisanal-and-small-scale-mining-asm-eiti-reporting)
 |

## Corrective actions / recommendations from previous Validation

ⓘ To inform the work on this module, stakeholders should be aware of corrective actions from previous Validation. In line with Requirement 7.3, the MSG should consider recommendations from EITI implementation such as those arising from EITI reporting related to this requirement or from other studies undertaken.

|  |
| --- |
| *Insert recommendation and or corrective action from previous Validation and indicate the status of corrective actions, if applicable. If this is a first Validation, this section can be left blank.*  |

## Applicability of the requirement

ⓘ The MSG should establish if this requirement is applicable.

**Have there been any extraction / production of** oil and gas / mining and quarrying Choose an item.commodities in the period under review?

[x]  Yes ☐ No

|  |
| --- |
| Add explanation: Enter here |

**What are the commodities being extracted / produced in the period under review?**

|  |
| --- |
| Add commodities or reference to an existing list of all commodities: Critical Minerals strategy-<https://www.mmmd.gov.zm/wp-content/uploads/2024/09/1-National-Critical-Minerals-Strategy-2024-%E2%80%93-2028-Booklet-August-27-2024.pdf> In addition to the minerals listed in the above linked documents the below are some of the minerals predominantly mined by the ASM in Zambia:Precious and Semi-precious metalsDevelopment Minerals(sand, graphite)GoldBase metals |

**Are there any artisanal- and small-scale mining activities in the country (**both formalised and informal)?

☐ [x] Yes ☐ No

|  |
| --- |
|  To encourage citizens to participate in the mining sector the Government issues ASM licences; that are tailored to promote the participation of indigenous Zambians in the extractive industry. |

## Self-assessment

ⓘ The self-assessment allows the MSG to understand the aspects of the requirement and estimate its progress towards meeting it. Diverging views within the constituency or between constituencies can be documented in the form.

### Holders of information

ⓘ The purpose of identifying the holders of information is to identify the entity or entities that are responsible for collecting, storing, processing and ultimately publishing information related to this requirement. It allows to clearly identify who is the information steward that needs to provide the information for EITI reporting: either through reporting or systematic disclosures.

|  |  |  |
| --- | --- | --- |
|  | **Question** | **Response** |
| **Required 3.2.a**  | Which **government entity(ies)** hold/is responsible for collecting and managing oil and gas / mining and quarrying Choose an item. Industries production data?Note that this can include several government entities, as the statistics department often holds production data, for example.  | *The Ministry of Mines and Minerals Development* *Zambia Revenue Authority through the Mineral Output Statistical Evaluation System (MOSES)* |
| **Required 3.2.a** | If applicable: Which **government entity(ies)** hold/is responsible for collecting and managing estimates of artisanal and small-scale mining production (both formal and informal)? | *The Ministry of Mines and Minerals Development* Zambia Statistics Agency |
| **Required – 3.2.b** | Which **government entity(ies) or companies** can provide information on the methodology used to establish production data? | Ministry of Mines and Minerals Development; Mines Development Department |
| **Encouraged – 3.2.d -**  | What **companies** can provide realised sales volumes and values by project? | Members of the Federation of Small-Scale Miners Associations in Zambia |

### Technical requirement

|  |  |
| --- | --- |
| **Required** | **3.2.a Production data** |
| *Availability* | **Are the following information points available by commodity being extracted?*** total production volumes

[x]  Yes ☐ No* the value of production

☐ Yes [x]  NoExplain (optional):  |
| *Disaggregation by project* | **Are the following information points available by commodity and by project being extracted?*** total production volumes

[x]  Yes ☐ No* the value of production

☐ Yes [x]  NoExplain (optional):If the response is ‘no’, is an explanation of the barriers to disclosure publicly available?[x]  Yes ☐ NoExplain (optional):.  |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s). If different commodities are available on different websites, add the commodity covered in brackets.* Production values for all extractives commodities: <https://portal.zambiaeiti.org/csv-list/660d530a148f8b9a5924ab02>
* Production volume for all extractives commodities: <https://portal.zambiaeiti.org/csv-list/660d530a148f8b9a5924ab02>
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Production values for all extractives commodities: <https://developmentminerals.org/wp-content/uploads/2025/05/Zambia-Blueprint-for-a-National-Formalization-and-Business.pdf>
 |
| **Required** | **3.2.a. Production data on artisanal and small-scale mining** |
| *Production data on artisanal and small-scale mining* | **Are figures or estimates on production volumes and value available on formalised artisanal and small-scale mining?**[x]  Yes ☐ No**Are estimates on production output available on informal artisanal and small-scale mining?**☐ Yes [x]  No |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. * Formal ASM production volume and value data:
* Informal ASM production volume and value estimates: *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Formal ASM production volume and value data: <https://portal.zambiaeiti.org/home>
* Informal ASM production volume and value estimates: *Source, section of report (page nr)*
 |
| **Required** | **3.2.b. Sources of and the methods for calculating** |
| *Availability* | **Is information publicly available on who provides (= source) of production data, for each commodity?**Production data can be provided by companies, or collected by extractives authorities, or other government entities. [x]  Yes ☐ No Elaborate, for example if the source of production data is different by commodity: **What is the source of production data? (Multiple selection is possible)**☐ Companies [x]  Government entity Elaborate, for example if the source of production data is different by commodity: **Are the methods of for calculating production volumes and values per commodity publicly available?*** total production volumes

☐ Yes [x] No* the value of production

☐ Yes [x] NoExplain (optional):  |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on the following is incomplete?** [[4]](#footnote-4)* Production volumes: [x]  Yes ☐ No
* Production values:[x]  Yes ☐ No

If yes, are there particular commodities the information is considered incomplete?Elaborate:This applies to all commodities in the ASM sector. If yes, what is the reason for omissions of information?Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players.**Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of information?*** Production volumes: [x]  Yes ☐ No
* Production values:[x]  Yes ☐ No

If yes, are there particular commodities the information is considered not reliable?Elaborate:All commodities within the ASM sector.If yes, what is the reason for that it is not reliable?Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players.**Do you or any stakeholders (including, but not limited to MSG members) consider that the information is outdated?** [[5]](#footnote-5)* Production volumes: [x]  Yes ☐ No
* Production values:[x]  Yes ☐ No

If yes, are there particular commodities where information is considered not up to date? All commodities in the ASM sub-sector.Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players.If yes, what is the reason for that it is not up to date?Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players.If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**[x]  Yes ☐ No Explain:The MSG during the period under review has discussed ways of ensuring that data from the ASM sub-sector is accurate and reliable. <https://zambiaeiti.org/wp-content/uploads/2025/06/ZEC-Minutes-27-03-2024.pdf> **Are the gaps due to legal or practical barriers?**[x]  Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information: Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players. |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs* Documentation of findings of assessing the existing mechanisms to monitor and verifying the accuracy of production data: *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be asn assessment in the EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Documentation of findings of assessing the existing mechanisms to monitor and verifying the accuracy of production data:Zambia EITI Portal <https://portal.zambiaeiti.org/home>
 |
| **Expected** | **3.2.c Classification standards.** |
| *Availability* | **Is the production data of all commodities of the**  mining and quarrying Choose an item. sector disclosed in line with national and international commodity classification standards?☐ Yes [x]  No Elaborate, for example if there are differences by commodity: **If no, is there a publicly available explanation of the barriers to disclosures ?**☐ Yes [x]  No  |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. * Production volumes and values, classified using national and international standards: *website: www.*
* If applicable: explanation of the barriers to such disclosures:  *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Production volumes and values, classified using national and international standards: *Source, section of report (page nr)*
* If applicable: explanation of the barriers to such disclosures: *Source, section of report (page nr)*
 |
| **Encouraged** | **3.2.d Sales volumes and values by project.** |
| *Availability* | **Have companies disclosed realised sales volumes and values by project (3.2.d)?**☐ Yes [x]  No Elaborate, for example if there are differences by commodity:  |
| *Available systematic disclosures* | **Provide source(s) where companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. * Realised sales volumes and values by project: *website: www.*
 |
| *Other sources* | **Provide other source(s) (incl EITI reporting) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Realised sales volumes and values by project: *Source, section of report (page nr)*
 |
|  |  |

**Additional comments and observations on this requirement, including any possible gaps, barriers to disclosures and how stakeholders (MSG, government, companies) are addressing those**

|  |
| --- |
| *Add any further comments:*  |

### Underlying objective

The objective of this requirement is to ensure public understanding of extractive commodity(ies) production levels and the valuation of extractive commodity output, as a basis for assessing expected government revenues from the extractive industries and the potential for government revenue leakages linked to under-reported production.

**Use of information**

1. Is any of the information as set out above available in open format, for example as excel work sheet, to facilitate its use?

|  |
| --- |
| [x]  Yes ☐No*Describe the data set(s) available, including in what format: Mineral production values from the ASM subsector are available in excel and csv formats on the Zambia EITI Portal for use.*  |

1. Has the MSG conducted any analysis using any of the information of this requirement?

|  |
| --- |
| ☐ Yes [x]  No*If yes, sources to where this analysis can be found.*  |

1. Is the MSG aware of stakeholders using this information?

|  |
| --- |
| ☐ Yes ☐ No*N/A* |

1. Has the review of the methodology for establishing production data led to any changes in practice or law?

|  |
| --- |
| [x]  Yes ☐ No *Due to the limited availability of production data in the ASM sub-sector the Zambia Revenue Authority has introduced a department that is dedicated to addressing taxation issues in the sub-sector.* *Further the MMMD has embarked on a national wide formalization of the ASM sub-sector through training and licensing.* |

1. Has the review of production data and its methodology led to strengthening collection of government revenues, such as royalties and to prevention of revenue leakages, if this risk is present in your context?

|  |
| --- |
| [x]  Yes ☐ No*If applicable, sources to where this analysis can be found*  |

1. Are there any other areas the MSG would like to highlight in terms of innovations, improvements in systematic disclosures in the past years?

|  |
| --- |
| [x]  Yes ☐ No*Due to the limited availability of production data in the ASM sub-sector the Zambia Revenue Authority has introduced a department that is dedicated to addressing taxation issues in the sub-sector.* *Further the MMMD has embarked on a national wide formalization of the ASM sub-sector through training and licensing.* |

### Conclusion

Based on the review of the [technical aspects](#_heading=h.2fy0zjzgnyss) and [underlying objective](#_heading=h.rq1wihesetvu), what is the MSG’s overall assessment towards meeting the requirement?

Score is:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **☐** | ☐ | ☐ | ☐ | [x]  | ☐ |
| very poor (0) | poor (25) | limited (50) | good (70) | very good (90) | leading (100) |
|  |  |  |  |  |  |

**Or**

☐ not applicable

|  |
| --- |
| Explain |

## International Secretariat feedback

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *To be filled in by the International Secretariat**Observations of comprehensiveness of addressing the aspects, any gaps identified and further clarification needed.*

|  |  |
| --- | --- |
| 3.2.a Production data*Required* |  |
| 3.2.a. Production data on artisanal and small-scale mining – formalised*Required* |  |
| 3.2.a. Production data on artisanal and small-scale mining – informal*Required* |  |
| 3.2.b. Sources of and the methods for calculating*Required* |  |
| 3.2.c Classification standards.*Expected* |  |
| 3.2.d Sales volumes and values by project.*Encouraged* |  |
| Underlying objective |  |
| Any other observations |  |
| On availability of systematic disclosures |  |
| On the timeliness of disclosures |  |
| On open format of disclosures |  |

 |

# Requirement 3.3: Export

## Resources

|  |
| --- |
| * [Requirement in full](https://eiti.org/eiti-requirements#_3-export-data-17305), [Validation guide](https://eiti.org/guidance-notes/validation-guide-2023-eiti-standard#requirement-33-export-data-18998)
* Relevant Guidance note: [Coverage of artisanal and small-scale mining (ASM) in EITI reporting](https://eiti.org/guidance-notes/coverage-artisanal-and-small-scale-mining-asm-eiti-reporting).
 |

## Corrective actions / recommendations from previous Validation

ⓘ To inform the work on this module, stakeholders should be aware of corrective actions from previous Validation. In line with Requirement 7.3, the MSG should consider recommendations from EITI implementation such as those arising from EITI reporting related to this requirement or from other studies undertaken.

|  |
| --- |
| *Insert recommendation and or corrective action from previous Validation and indicate the status of corrective actions, if applicable. If this is a first Validation, this section can be left blank.*  |

## Applicability of the requirement

ⓘ The MSG should establish if this requirement is applicable.

**Have there been any export of** mining and quarrying Choose an item.commodities in the period under review?

[x]  Yes ☐ No

|  |
| --- |
| Add explanation: Enter here |

**What are the commodities being exported in the period under review?**

|  |
| --- |
| Add commodities or reference to an existing list of all commodities: Precious and semi precious metalsDevelopment MineralsBase MetalsGemstones |

 **Are there any export activities related to artisanal- and small-scale mining activities in the country (**both formalised and informal)?

[x]  Yes ☐ No

|  |
| --- |
| Add explanation: Enter here |

## Self-assessment

ⓘ The self-assessment allows the MSG to understand the aspects of the requirement and estimate its progress towards meeting it. Diverging views within the constituency or between constituencies can be documented in the form.

### Holders of information

ⓘ The purpose of identifying the holders of information is to identify the entity or entities that are responsible for collecting, storing, processing and ultimately publishing information related to this requirement. It allows to clearly identify who is the information steward that needs to provide the information for EITI reporting: either through reporting or systematic disclosures.

|  |  |  |
| --- | --- | --- |
|  | **Question** | **Response** |
| **Required 3.3.a** | Which **government entity(ies)** hold(s)s information on mining and quarrying Choose an item. Industries export data?Note that this can include several government entities, as the statistics department often holds production data, for example. | *Ministry of Mines and Minerals Development* *Zambia Revenue Authority* *Zambia Statistical Agency* |
| **Required 3.3.a** | If applicable: Which **government entity(ies)** hold(s) information on exports of artisanal and small-scale mining production? | Holder(s) of information: *please specify*:*Ministry of Mines and Minerals Development* *Zambia Revenue Authority* *Zambia Statistical Agency* |
| **Required – 3.3.b** | Which **government entity(ies) or companies** can provide information on the methodology used to establish export data? | *Ministry of Mines and Minerals Development* *Zambia Revenue Authority*  |
| **Encouraged – 3.3.d-** | Which **exporting companies and buyers of commodities, including commodity traders**, hold information on realised sales volumes and values? | All exporting companies as well as buyers of commodities, including commodity traders |

### Technical requirement

|  |  |
| --- | --- |
| **Required** | **3.3.a. Export volumes and values** |
| *Availability* | **Are the following information points available?*** total export volumes, by commodity

[x]  Yes ☐ No* the total value of exports by commodity

[x]  Yes ☐ NoExplain (optional): |
| *Disaggregation by exporting company*  | **Are the following information points available?*** export volumes, disaggregated by commodity and by exporting company

[x]  Yes ☐ No* export values, by commodity and by exporting company

[x]  Yes ☐ NoExplain (optional): |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs* total export volumes, by commodity: *website: www. The Zambia Statistics Agency-* [*https://www.zamstats.gov.zm/*](https://www.zamstats.gov.zm/)
* the total value of exports by commodity: *website: www.*
* export volumes, disaggregated by commodity and by exporting company: *website: www.*
* export values, by commodity and by exporting company: *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* total export volumes, by commodity: Zambia EITI Portal- <https://portal.zambiaeiti.org/csv-list/6553a26515b41ff901ba06da>
* the total value of exports by commodity: Zambia EITI Portal- <https://portal.zambiaeiti.org/csv-list/6553a26515b41ff901ba06da>
* export volumes, disaggregated by commodity and by exporting company: *website: www.* Zambia EITI Portal- <https://portal.zambiaeiti.org/csv-list/6553a26515b41ff901ba06da>
* export values, by commodity and by exporting company: : Zambia EITI Portal- <https://portal.zambiaeiti.org/csv-list/6553a26515b41ff901ba06da>
 |
|  **Required** | **3.3.a. Export data on artisanal and small-scale mining** |
| *Production data on artisanal and small-scale mining* | **Are figures or estimates on export volumes and value available on formalised artisanal and small-scale mining?**[x]  Yes ☐ NoIf not available, explain why:**Are estimates on export (often smuggling) available on informal artisanal and small-scale mining production?**☐ Yes [x]  NoIf not available, explain why:  |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. * Formalised ASM export volume and value data: *website: www. The Zambia Statistics Agency-* [*https://www.zamstats.gov.zm/*](https://www.zamstats.gov.zm/)
* Informal ASM export volume and value estimates: *website: www. The Zambia Statistics Agency-* [*https://www.zamstats.gov.zm/*](https://www.zamstats.gov.zm/)
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Formalised ASM export volume and value data: Zambia EITI Portal- <https://portal.zambiaeiti.org/csv-list/6553a26515b41ff901ba06da>
* Informal ASM export (smuggling) volume and value estimates: Zambia EITI Portal- <https://portal.zambiaeiti.org/csv-list/6553a26515b41ff901ba06da>
 |
| **Expected** | **3.3.a. Export volumes and values by transaction** |
| *Disaggregation by transaction* | **Are the following information points available?*** export volumes, disaggregated by transaction

☐ Yes [x]  No* export values, disaggregated by transaction

☐ Yes [x]  NoIf the response is ‘no’, is an explanation of the barriers to disclosure publicly available?☐ Yes [x]  NoExplain (optional): Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players. |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs* export volumes, disaggregated by commodity and by transaction: *website: www.*
* export values, by commodity and by transaction: *website: www.*
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* export volumes, disaggregated by commodity and by transaction: *Source, section of report (page nr)*
* export values, by commodity and by transaction: *Source, section of report (page nr)*
 |
| **Required** | **3.3.b. Sources of and the methods for calculating exports** |
| *Availability* | **Is information publicly available on who provides (= source) of exportation data, for each commodity?**Export data can be provided by companies, or collected by extractives authorities, or other government entities, such as customs. [x]  Yes ☐ No Elaborate, for example if the source of exportation data is different by commodity: The data is the same.**What is the source of exportation data? (Multiple selection is possible)**☐ Companies [x]  Government entity Elaborate, for example if the source of exportation data is different by commodity: **Are the methods of for calculating export volumes and values per commodity publicly available?*** total export volumes

☐ Yes [x]  No* the value of exportation

☐ Yes [x]  NoExplain (optional):  |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on the following is incomplete?** [[6]](#footnote-6)* Export volumes: [x]  Yes ☐ No
* Export values: [x]  Yes ☐ No

If yes, are there particular commodities the information is considered incomplete?Elaborate: For all minerals extracted by ASM license holdersIf yes, what is the reason for omissions of information?Elaborate: Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players.**Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of information?*** Export volumes: [x]  Yes ☐ No
* Export values:[x]  Yes ☐ No

If yes, are there particular commodities the information is considered not reliable?Elaborate: : For all minerals extracted by ASM license holdersIf yes, what is the reason for that it is not reliable?Elaborate: Lack of consistent and accurate reporting by ASM license holders has lead to gaps in data quality.**Do you or any stakeholders (including, but not limited to MSG members) consider that the information is outdated?** [[7]](#footnote-7)* Export volumes: ☐ Yes [x]  No
* Export values:☐ Yes [x]  No

If yes, are there particular commodities where information is considered not up to date?Elaborate:If yes, what is the reason for that it is not up to date?Elaborate:If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**☐ Yes ☐ No Explain:**Are the gaps due to legal or practical barriers?**☐ Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information:Explain: *can include a reference to work plan activities, MSG meeting minutes etc.* |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs* Documentation of findings of assessing the existing mechanisms to monitor and verifying the accuracy of exportation data: N/A
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be asn assessment in the EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Documentation of findings of assessing the existing mechanisms to monitor and verifying the accuracy of exportation data: *Source, section of report (page nr)*
 |
| **Expected** | **3.3.c Classification standards.** |
| *Availability* | **Is the exportation data of all commodities of the** oil and gas / mining and quarrying Choose an item. sector disclosed in line with national and international commodity classification standards?[x]  Yes ☐ No Elaborate, for example if there are differences by commodity: **If no, is there a publicly available explanation of the barriers to disclosures ?**☐ Yes ☐ No  |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. * Export volumes and values, classified using national and international standards: Zambia Statistics Agency- <https://www.zamstats.gov.zm/>
* If applicable: explanation of the barriers to such disclosures: Practical barriers due to the complexity of mineral reporting and limited capacity of ASM players
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Export volumes and values, classified using national and international standards: *Source, section of report (page nr)*
* If applicable: explanation of the barriers to such disclosures: *Source, section of report (page nr)*
 |
| **Encouraged** | **3.3.d Sales volumes and values by project.** |
| *Availability* | **Have exporting companies and buyers of commodities, including commodity traders, disclosed realised sales volumes and values by project (3.2.d)?**☐ Yes [x]  No Elaborate, for example if there are differences by commodity:  |
| *Available systematic disclosures* | **Provide source(s) where companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. Realised sales volumes and values by project: *website: www.*  |
| *Other sources* | **Provide other source(s) (incl EITI reporting) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:Realised sales volumes and values by project: *Source, section of report (page nr)*  |
| **Encouraged** | **3.3.e Export data by region, destination and buyer.** |
| *Availability* | **Is export data presented by region, destination and buyer?**☐ Yes [x]  No Elaborate, for example if there are differences by commodity:  |
| *Available systematic disclosures* | **Provide source(s) where companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. * Export data presented by region, destination and buyer: *website: www.*
 |
| *Other sources* | **Provide other source(s) (incl EITI reporting) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Export data presented by region, destination and buyer: *Source, section of report (page nr)*
 |
| **Encouraged** | **3.3.e Buyer is a related party** |
| *Availability* | **Do exporting companies and implementing countries disclose whether the buyer is a related party?**☐ Yes [x]  No Elaborate, for example if there are differences by commodity:  |
| *Available systematic disclosures* | **Provide source(s) where companies or a government entity disclose this information:** Usually by the information holder(s), includes disclosures by SOEs. * Buyer is a related party: *website: www.*
 |
| *Other sources* | **Provide other source(s) (incl EITI reporting) where this information can be accessed:** Where this information is not systematically disclosed (see above) or it is complemented by other public disclosures, please note this here. It may be publications in an EITI Report, a study, an industry publication, the EITI website / EITI data platform:* Buyer is a related party: *Source, section of report (page nr)*
 |
|  |  |

**Additional comments and observations on this requirement, including any possible gaps, barriers to disclosures and how stakeholders (MSG, government, companies) are addressing those**

|  |
| --- |
| *Add any further comments:*  |

### Underlying objective

The objective of this requirement is to ensure public understanding of extractive commodity(ies) export levels and the valuation of extractive commodity exports, as a basis for assessing expected government revenues from the extractive industries and the potential for government revenue leakages linked to under-reported.

**Use of information**

1. Is any of the information as set out above available in open format, for example as excel work sheet, to facilitate its use?

|  |
| --- |
| ☐ Yes [x] No*N/A* |

1. Has the MSG conducted any analysis using any of the information of this requirement?

|  |
| --- |
| ☐ Yes [x]  No*N/A* |

1. Is the MSG aware of stakeholders using this information?

|  |
| --- |
| ☐ Yes [x]  NoN/A |

1. Is the MSG aware of any irregular practices in the exportation of commodities?

|  |
| --- |
| Elaborate: Some of the export commodities are under valued, wrongly classified and under reported because of the complexity of mineral reporting process and the limited capacity of the ASM sector.  |

1. Has the review of the methodology for establishing production data led to any changes in practice or law?

|  |
| --- |
| [x]  Yes ☐ No*The often missing an inaccurate production data from the ASM sector has led to the Zambia Revenue Authority introducing a special department that focuses on ASM.*  |

1. Has the review of production data and its methodology led to strengthening collection of revenues?

|  |
| --- |
| [x]  Yes ☐ No*The often missing an inaccurate production data from the ASM sector has led to the Zambia Revenue Authority introducing a special department that focuses on ASM.*  |

1. Are there any other areas the MSG would like to highlight in terms of innovations, improvements in systematic disclosures in the past years?

|  |
| --- |
| [x]  Yes ☐ No*The MMMD has in the recent past embarked on the formalization of the ASM sector in conjunction with the the ACP-EU Development Minerals programme. This formalization will help in ensuring that systematic disclosures are understood and practiced by the ASM.*  |

### Conclusion

Based on the review of the [technical aspects](#_heading=h.s0oex5juc5t3) and [underlying objective](#_heading=h.5m6nlzjw6fzy), what is the MSG’s overall assessment towards meeting the requirement?

Score is:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **☐** | ☐ | ☐ | ☐ | [x]  | ☐ |
| very poor (0) | poor (25) | limited (50) | good (70) | very good (90) | leading (100) |
|  |  |  |  |  |  |

**Or**

☐ not applicable

|  |
| --- |
| Explain |

## International Secretariat feedback

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *To be filled in by the International Secretariat**Observations of comprehensiveness of addressing the aspects, any gaps identified and further clarification needed.*

|  |  |
| --- | --- |
| 3.3.a Export data*Required* |  |
|  3.3.a. Export data on artisanal and small-scale mining – formalised*Required* |  |
| 3.3.a. Export data on artisanal and small-scale mining – informal *Required* |  |
| 3.3.a. Export volumes and values by transaction*Expected* |  |
| 3.3.b. Sources of and the methods for calculating*Required* |  |
| 3.3.c Classification standards.*Expected* |  |
| 3.3.d Sales volumes and values by project.*Encouraged* |  |
| 3.3.e. Presentation of export data by region, destination and buyer*Encouraged* |  |
| 3.3.e. Buyer is a related party*Encouraged* |  |
| Underlying objective |  |
| Any other observations |  |
| On availability of systematic disclosures |  |
| On the timeliness of disclosures |  |
| On open format of disclosures |  |

 |

# Requirement 6.3: Contribution of the extractive sector to the economy

## Resources

|  |
| --- |
| * [Requirement in full](https://eiti.org/eiti-requirements#_3-contribution-of-the-extractive-sector-to-the-economy--17332), [Validation guide](https://eiti.org/guidance-notes/validation-guide-2023-eiti-standard#requirement-63-contribution-of-the-extractive-sector-to-the-economy--18975)
* Relevant guidance notes: [Gender-responsive EITI implementation](https://eiti.org/guidance-notes/gender-responsive-eiti-implementation), [Coverage of artisanal and small-scale mining (ASM) in EITI reporting](https://eiti.org/guidance-notes/coverage-artisanal-and-small-scale-mining-asm-eiti-reporting), [Guidance on the Expectations for EITI supporting companies](https://eiti.org/guidance-notes/guidance-expectations-eiti-supporting-companies).
 |

## Corrective actions / recommendations from previous Validation

ⓘ To inform the work on this module, stakeholders should be aware of corrective actions from previous Validation. In line with Requirement 7.3, the MSG should consider recommendations from EITI implementation such as those arising from EITI reporting related to this requirement or from other studies undertaken.

|  |
| --- |
|  |

## Self-assessment

ⓘ The self-assessment allows the MSG to understand the aspects of the requirement and estimate its progress towards meeting it. Diverging views within the constituency or between constituencies can be documented in the form.

### Holders of information

ⓘ The purpose of identifying the holders of information is to identify the entity or entities that are responsible for collecting, storing, processing and ultimately publishing information related to this requirement. It allows to clearly identify who is the information steward that needs to provide the information for EITI reporting: either through reporting or systematic disclosures.

|  |  |  |
| --- | --- | --- |
|  | **Question** | **Response** |
| **Required 6.3.a.i –** | Which government entity holds information about the contribution of the mining and quarrying industries to the economy for the year(s) covered by EITI disclosures (6.3), including* The size of the extractive industries in absolute terms and as a percentage of gross domestic product (GDP) (6.3.a.i);
* Estimate of the informal sector activity, including but not necessarily limited to artisanal and small-scale mining. The estimate can draw on credible third-party sources (6.3.a.i)
 | *Ministry of Finance and National Planning**Zambia Revenue Authority* *Zambia Statistics Agency* |
| **Required 6.3.a.ii** | Which government entity holds information on* Total government revenues from the mining and quarrying sector, and its percentage contribution towards total government revenue
 | *Ministry of Finance and National Planning**Zambia Revenue Authority* *Zambia Statistics Agency* |
| **Required 6.3.a.iii** | Which government entity holds information on* Exports of the mining and quarrying sector,
* percentage contribution of mining and quarrying sector towards total exports of the country
 | *Ministry of Finance and National Planning**Zambia Revenue Authority* *Zambia Statistics Agency* |
| **Required****6.3.a.iv** | * Whichgovernment entity holds information about the number of employed persons in the mining and quarrying sector (both public and private), by gender, occupational level, company, project and between local and foreign nationals where available, as well as their percentage contribution towards total employment numbers
 | *Ministry of Mines and Minerals Development (Mines Safety Department)**Zambia Statistics Agency* |
| **Required 6.3.a.v** | * Which government entity holds information on key regions/areas where mining and quarrying production is concentrated.
 | *Ministry of Mines and Minerals Development (Mining Cadastre Department & Geological Survey Department)**Zambia Environmental Management Agency* |
| **Encouraged****6.3.a.iv** | What companies that are active in the mining and quarrying sector (hold licenses) have disclosed information on the gender pay gap? | *No mining companies have disclosed this information.*  |

### Technical requirement

|  |  |
| --- | --- |
| **Required** | **6.3.a.i Contribution to the economy of extractive industries** |
| *Availability* | **Is there information about the contribution of the mining and quarrying / oil and gas industries to the economy for the fiscal year covered by EITI implementation, including:** * The size of the mining and quarrying industries in absolute terms and as a percentage of gross domestic product (GDP) (6.3.a.i);[x]  Yes ☐ No
* Estimate of the informal mining sector activity, including but not necessarily limited to artisanal and small-scale mining. The estimate can draw on credible third-party sources (6.3.a.i)☐ Yes [x] No
 |
| *Available systematic disclosures* | **Provide source(s) where the government or companies disclose this information:** Usually by the information holder(s), includes disclosures by SOEs* Size of the mining and quarrying industry in absolute terms and as a percentage of gross domestic product (GDP): <https://www.mofnp.gov.zm/?page_id=3226>
* Estimate of the informal sector activity: N/A
 |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or complemented, this may be in an EITI Report, a study, an industry publication, EITI website* Size of the mining and quarrying industry: ZEITI 2023 Report Page ….
* Estimate of the informal sector activity: N/A
 |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on the following is incomplete?** [[8]](#footnote-8)* The size of the mining and quarrying industries: [x]  Yes ☐ No
* Estimate of the informal sector activity:[x]  Yes ☐ No

If yes, what is the reason for omissions of information?The ASM sub-sector in Zambia has not been formalized for the longest time and as such information is mostly unavailable or not accurate. Further the size of the sector in comparison to the technical and institutional capacity in the MMMD is disproportionate **Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of information?*** The size of the mining and quarrying industries: [x]  Yes ☐ No
* Estimate of the informal sector activity: [x]  Yes ☐ No

If yes, what is the reason that it is not reliable?The ASM sub-sector in Zambia has not been formalized for the longest time and as such information is mostly unavailable or not accurate. Further the size of the sector in comparison to the technical and institutional capacity in the MMMD is disproportionate **Do you or any stakeholders (including, but not limited to MSG members) consider that the information is outdated?** [[9]](#footnote-9)* The size of the mining and quarrying industries: [x]  Yes ☐ No
* Estimate of the informal sector activity:[x]  Yes ☐ No

If yes, what is the reason for not considering the information being up to date?The ASM sub-sector in Zambia has not been formalized for the longest time and as such information is mostly unavailable or not accurate. Further the size of the sector in comparison to the technical and institutional capacity in the MMMD is disproportionate If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**[x]  Yes ☐ No Explain: The gaps have been clearly addressed in EITI reporting. **Are the gaps due to legal or practical barriers?**[x]  Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information:Explain: *can include a reference to work plan activities, MSG meeting minutes etc.*The barriers are both practical and legal. Practical barriers are due toThe ASM sub-sector in Zambia has not been formalized for the longest time and as such information is mostly unavailable or not accurate. Further the size of the sector in comparison to the technical and institutional capacity in the MMMD is disproportionate The ASM mining sector’s legal requirements have largely been tailored to cater to the large scale miners. Whether be it in terms of environmental requirements or taxation.  |
| **Required** | **6.3.a.ii – total government revenues generated by extractive industries** |
| *Availability* | Is there information on total government revenues generated by the (mining and quarrying) industries (including taxes, royalties, bonuses, fees and other payments) in * absolute terms ?

☐ Yes [x]  No* as a percentage of total government revenues ?

☐ Yes [x]  No |
| *Available systematic disclosures* | **Provide source(s) where the government discloses** total government revenues generated by the ( mining and quarrying) industries: N/A |
| *Other sources* | **Provide other source(s) where this information can be accessed:** Where this information is not systematically disclosed (see above) or complemented, this may be in an EITI Report, a study, an industry publication, EITI website: *Source, section of report (page nr)*  |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on government revenues generated by the (mining and quarrying) industries, both in absolute and percentage of total government revenues is incomplete**?[x]  Yes ☐ NoIf yes, what is omitted? What is the reason for omissions? There is currently no data and information on government revenues generated by the ASM sub-sector in both absolute and percentage of total government revenues generated.**Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of the information on government revenues generated by the ( mining and quarrying) industries?**[x]  Yes ☐ NoIf yes, what is the reason that it is not reliable?Elaborate: There is currently no data and information on government revenues generated by the ASM sub-sector.**Do you or any stakeholders (including, but not limited to MSG members) consider that the information is outdated?** [[10]](#footnote-10)[x]  Yes ☐ NoIf yes, what is the reason for not considering the information being up to date?There is currently no data and information on government revenues generated by the ASM sub-sector If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**[x]  Yes ☐ No The MSG is actively discussing the gaps that have been identified and these will be addressed in the next ZEITI work plan. **Are the gaps due to legal or practical barriers?**[x]  Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information:Explain: *can include a reference to work plan activities, MSG meeting minutes etc.*The MSG is actively discussing the gaps that have been identified and these will be addressed in the next ZEITI work plan and all final decisions to address the gaps will be disclosed on the Zambia EITI website.  |
| **Required** | **6.3.a.iii – export figures** |
| *Availability*  | Is there information available on * Exports of the (mining and quarrying) extractive sector,

[x]  Yes ☐ No* its percentage contribution towards total exports of the country?

☐ Yes [x]  No |
| *Sources* | Provide source(s) where this information can be accessed: [*https://www.zamstats.gov.zm/economic-statistics/*](https://www.zamstats.gov.zm/economic-statistics/) |
| **Required** | **6.3.a.iv – employment data** |
| *Availability* | Is there information available on * Number of employed persons in the extractive (mining and quarrying) sector (both public and private) by gender, ☐ Yes [x]  No
* By occupational level ☐ Yes [x]  No
* By company ☐ Yes [x]  No
* project ☐ Yes [x]  No
* between local and foreign nationals ☐ Yes [x]  No
* percentage contribution towards total employment numbers☐ Yes ☐ No
 |
| *Sources* | *N/A* |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on employment data is incomplete?** [[11]](#footnote-11)[x]  Yes ☐ NoIf yes, are there particular parts of employment data that is considered incomplete?There is currently very limited data and information on employment in the ASM sub-sector .If yes, what is the reason for omissions of information?There is currently very limited data and information on employment in the ASM sub-sector .**Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of employment data?**[x]  Yes ☐ NoIf yes, are there particular parts of the employment data that is considered not reliable?There is currently very limited data and information on employment in the ASM sub-sector .If yes, what is the reason for that it is not reliable?There is currently very limited data and information on employment in the ASM sub-sector .**Do you or any stakeholders (including, but not limited to MSG members) consider that the information on employment data is outdated?** [[12]](#footnote-12)[x]  Yes ☐ NoIf yes, are there particular commodities where information is considered not up to date?There is currently very limited data and information on employment in the ASM sub-sector .If yes, what is the reason for that it is not up to date?The ASM sub-sector in Zambia has not been formalized for the longest time and as such information is mostly unavailable or not accurate. Further the size of the sector in comparison to the technical and institutional capacity in the MMMD (the institution mandated by law to oversee the mining sector) is disproportionate. If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**[x]  Yes ☐ No The MSG is actively discussing the gaps that have been identified and these will be addressed in the next ZEITI work plan and all final decisions to address the gaps will be disclosed on the Zambia EITI website. **Are the gaps due to legal or practical barriers?**[x]  Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information:Explain: *can include a reference to work plan activities, MSG meeting minutes etc*The barriers are both practical and legal. Practical barriers are due toThe ASM sub-sector in Zambia has not been formalized for the longest time and as such information is mostly unavailable or not accurate. Further the size of the sector in comparison to the technical and institutional capacity in the MMMD is disproportionate The ASM mining sector’s legal requirements have largely been tailored to cater to the large scale miners. Whether be it in terms of environmental requirements or social expectations. |
| **Required**  | **6.3.a.v - Key regions** |
| *Availability* | **Is there comprehensive information available on key regions/areas where production is concentrated?** [x]  Yes ☐ No |
| *Sources* | Provide source(s) where this information can be accessed: [*https://portals.landfolio.com/zambia/*](https://portals.landfolio.com/zambia/) |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Do you or any stakeholders (including, but not limited to MSG members) consider that the information on key regions where production is concentrated is incomplete?** [[13]](#footnote-13)[x]  Yes ☐ NoIf yes, what is considered incomplete?Requisite information on regions where ASM sub-sector mineral production is concentrated. If yes, what is the reason for omissions of information?There is currently very limited data and information on ASM sub-sector activities .**Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of information on key regions where production is concentrated?**[x]  Yes ☐ NoIf yes, what is considered not reliable?Requisite information on regions where ASM sub-sector mineral production is concentratedIf yes, what is the reason for that it is not reliable?There is currently very limited data and information on ASM sub-sector activities .**Do you or any stakeholders (including, but not limited to MSG members) consider that the information on key regions where production is concentrated is outdated?** [[14]](#footnote-14)[x]  Yes ☐ NoIf yes, what is considered not up to date?Requisite information on regions where ASM sub-sector mineral production is concentratedIf yes, what is the reason for that it is not up to date?There is currently very limited data and information on ASM sub-sector activities .If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**[x]  Yes ☐ No The MSG is actively discussing the gaps that have been identified and these will be addressed in the next ZEITI work plan and all final decisions to address the gaps will be disclosed on the Zambia EITI website. **Are the gaps due to legal or practical barriers?**[x]  Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information:The barriers are both practical and legal. Practical barriers are due toThe ASM sub-sector in Zambia has not been formalized for the longest time and as such information is mostly unavailable or not accurate. Further the size of the sector in comparison to the technical and institutional capacity in the MMMD is disproportionate The ASM mining sector’s legal requirements have largely been tailored to cater to the large scale miners. Whether be it in terms of environmental requirements or social expectations.*.* |
| **Encouraged** | **6.3.a.iv – gender pay gap data** |
| *Availability* | **Did any companies disclose information on the gender pay gap?**☐ Yes [x]  No**Has the MSG considered the relevance of these disclosures, in view of national priorities and context?**☐ Yes [x]  No*Explain:*  |
| *Sources* | Provide links documentation of companies that publish information on the gender pay gap: *N/A*Provide reference to minutes or other documentation of MSG discussion where these relevance of these disclosures was discussed:  *N/A* |
| *Assessment on comprehensiveness, reliability and timeliness of information* | **Comprehensiveness: How many companies disclose gender pay gap data?**☐ All ☐ Over 50% of companies ☐ Below 50% [x]  None **Do you or any stakeholders (including, but not limited to MSG members) have any concerns on the trustworthiness and reliability of information on gender pay gap?**[x]  Yes ☐ NoIf yes, what is considered not reliable?All requisite information on the gender pay gap in ASM sub-sector. If yes, what is the reason for that it is not reliable?There is currently very limited data and information on ASM sub-sector activities .**Do you or any stakeholders (including, but not limited to MSG members) consider that the information on the gender pay gap is outdated?** [[15]](#footnote-15)[x]  Yes ☐ NoIf yes, what is considered not up to date?All requisite information on the gender pay gap in ASM sub-sector.If yes, what is the reason for that it is not up to date?There is currently very limited data and information on ASM sub-sector activities .If any of the questions were responded with ‘yes’, **have those gaps been clearly identified, for example through EITI reporting?**[x]  Yes ☐ No The MSG is actively discussing the gaps that have been identified and these will be addressed in the next ZEITI work plan and all final decisions to address the gaps will be disclosed on the Zambia EITI website. **Are the gaps due to legal or practical barriers?**[x]  Yes ☐ NoIf yes, explain plans to overcome barriers to disclosure of all of the above information:* The MSG is actively discussing the gaps that have been identified and these will be addressed in the next ZEITI work plan and all final decisions to address the gaps will be disclosed on the Zambia EITI website
 |

**Additional comments and observations on this requirement, including any possible gaps, barriers to disclosures and how stakeholders (MSG, government, companies) are addressing those**

|  |
| --- |
| *The ZEITI MSG will continue to disclose any and all information on the ASM systematically through the Zambia EITI Portal and subsequent ZEITI reports. Further the MSG will continue to work with stakeholders in promoting transparency and accountability in the ASM sub-sector.* *The government has continued to work on the formalization of the ASM sector through licensing, introduction of mineral trading centers (Gold Marketing Sector), training of formalized ASM cooperatives. Through the Zambia Revenue Authority and the MMMD the government has introduced departments dedicated to the ASM sub-sector.* *In addition to the above, the government has also introduced the inaugural ASM Conference that is aimed at fostering dialogue between the government and various ASM players ranging from miners to service providers.*  |

### Underlying objective

The objective of this requirement is to ensure a public understanding of the extractive industries’ contribution to the national economy and the level of natural resource dependency in the economy.

**Use of information**

1. Is any of the information as set out above available in open format, for example as excel work sheet, to facilitate its use?

|  |
| --- |
| ☐ Yes [x] No*Describe the data set(s) available, including in what format:*  |

1. Has the MSG conducted any analysis using any of the information of this requirement?

|  |
| --- |
| ☐ Yes [x]  No*If yes, sources to where this analysis can be found.*  |

1. Is the MSG aware of stakeholders using this information?

|  |
| --- |
| ☐ Yes [x]  No*If applicable, sources to where this analysis can be found*  |

### Conclusion

Based on the review of the [technical aspects](#_heading=h.7zfelxi045hm) and [underlying objective](#_heading=h.5m6nlzjw6fzy), what is the MSG’s overall assessment towards meeting the requirement?

Score is:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **☐** | ☐ | ☐ | [x]  | ☐ | ☐ |
| very poor (0) | poor (25) | limited (50) | good (70) | very good (90) | leading (100) |
|  |  |  |  |  |  |

**Or**

☐ not applicable

|  |
| --- |
|  The formalization of ASM in Zambia is aimed at the economic empowerment of Zambian citizens through participation in the mining sector.Notably the Zambian Government through the newly enacted the Geological and Minerals Development Act No. 2 of 2025 has provided for the establishment of the ASM fund for the purposes of promoting the development of the sub-sector. |

## International Secretariat feedback

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *To be filled in by the International Secretariat**Observations of comprehensiveness of addressing the aspects, any gaps identified and further clarification needed.*

|  |  |
| --- | --- |
| 6.3.a.i Contribution to the economy of extractive industries*Required* |  |
| 6.3.a.ii – total government revenues generated by extractive industries*Required* |  |
| 6.3.a.iii – export figures*Required* |  |
| 6.3.a.iv – employment data*Required* |  |
| 6.3.a.v - Key regions*Required* |  |
| 6.3.a.iv – gender pay gap data*Encouraged* |  |
| Underlying objective |  |
| Any other observations |  |
| On availability of systematic disclosures |  |
| On the timeliness of disclosures |  |
| On open format of disclosures |  |

 |

# For Validation: MSG sign-off

**Date of MSG sign-off**

Click or tap to enter a date.

**Sign off through:** Click or tap here to enter text.

Examples are MSG meeting, through circular to MSG members and non-objection

**Link to documentation or provide evidence as attachment to submission:**

*Provide link to webpage with MSG meeting minutes or submit other evidence with the template submission*

\*\*\* Form ends

1. In determining whether carbon pricing mechanisms are material to the extractive industries, the MSG is encouraged to first consider whether the country has any carbon pricing mechanism and then to consider where that mechanism is applied. MSGs are encouraged to focus on mechanisms that are directly applicable to the extractive sector, rather than those that are applied at other points of the value chain (e.g., mid- and downstream). [The World Bank’s Carbon Pricing Dashboard](https://carbonpricingdashboard.worldbank.org/) summarises information on all active carbon pricing mechanisms worldwide and can provide a useful resource (https://carbonpricingdashboard.worldbank.org/). [↑](#footnote-ref-1)
2. Meaning that the information is not comprehensive, that important information is not disclosed. [↑](#footnote-ref-2)
3. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-3)
4. Meaning that the information is not comprehensive, that important information is not disclosed. [↑](#footnote-ref-4)
5. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-5)
6. Meaning that the information is not comprehensive, that important information is not disclosed. [↑](#footnote-ref-6)
7. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-7)
8. Meaning that the information is not comprehensive, that important information is not disclosed. [↑](#footnote-ref-8)
9. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-9)
10. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-10)
11. Meaning that the information is not comprehensive, that important information is not disclosed. [↑](#footnote-ref-11)
12. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-12)
13. Meaning that the information is not comprehensive, that important information is not disclosed. [↑](#footnote-ref-13)
14. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-14)
15. Outdated means it is not timely. Is it clear to the reader when the information was published, for example through an indication of “last modified as of [date]” or does it reference clearly the timeframe the information relates to? If not, how can the reader ascertain if the information is up to date? [↑](#footnote-ref-15)